

Host heterogeneity mitigates virulence evolution

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1 **Abstract**

2 Parasites often infect genetically diverse host populations, and the evolutionary trajectories of parasite
3 populations may be shaped by levels of host heterogeneity. Mixed genotype host populations, compared
4 to homogeneous host populations, can reduce parasite prevalence and potentially reduce rates of parasite
5 adaptation due to tradeoffs associated with adapting to specific host genotypes. Here, we used
6 experimental evolution to select for increased virulence in populations of the bacterial parasite *Serratia*
7 *marcescens* exposed to either heterogeneous or homogeneous populations of *Caenorhabditis elegans*.
8 We found that parasites exposed to heterogeneous host populations evolved significantly less virulence
9 than parasites exposed to homogeneous host populations over several hundred bacterial generations.
10 Thus, host heterogeneity impeded parasite adaptation to host populations. While we detected tradeoffs in
11 virulence evolution, parasite adaptation to two specific host genotypes also resulted in modestly
12 increased virulence against the reciprocal host genotypes. These results suggest that parasite adaptation
13 to heterogeneous host populations may be impeded by both tradeoffs and a reduction in the efficacy of
14 selection as different host genotypes exert different selective pressures on a parasite population.

15 **Introduction**

16
17
18 Hosts and parasites are ubiquitous in nature. A long-standing goal in evolutionary biology is to
19 understand the reciprocal selective pressures exerted by host and parasite interactions [1]. Theoretical
20 and empirical studies point to multiple factors that can determine the rate and magnitude of parasite
21 adaptation to hosts. These factors include host genetic heterogeneity [2,3], host spatial structure [4,5],
22 competition [6,7], and migration and gene flow [8,9]. Of particular interest is how host genotypes
23 influence the evolutionary trajectory of parasites populations as they adapt to host populations.

24
25 Historically, host heterogeneity has been overlooked in theoretical models of infection dynamics
26 [10,11], yet host heterogeneity is both biologically relevant and a potential source of selection driving
27 parasite evolution. Host homogeneity is generally rare in natural populations, even in many asexual
28 hosts [12,13]. Theoretical models of host heterogeneity predict that specialization on similar host
29 genotypes results in reduced transmission between dissimilar genotypes, which leads to lower parasite
30 prevalence [3,14]. Due to this trade-off, parasite prevalence tends to be mitigated compared with
31 homogeneous populations, known as the monoculture effect [15]. Evidence for the monoculture effect
32 has been found in agriculture systems [16–19] and natural populations [20–27], in which prevalence
33 differs between homogeneous and heterogeneous populations.

34
35 Heterogeneous populations may impede parasite adaptation and thus limit virulence. In some cases, host
36 genetic diversity can even prevent parasite adaptation altogether [3]. Host diversity reduces the average
37 rate at which parasites successfully infect hosts [28], thereby limiting specialization on a single host
38 genotype. Here, we asked whether heterogeneity per se is sufficient to alter parasite evolution by
39 examining virulence in populations with different ratios of host genotypes. Further, if homogeneity leads

40 to greater virulence, is there a cost of adapting to one specific genotype when parasitizing novel hosts,
41 resulting in a fitness loss?

42

43 We used experimental evolution to select for virulence while passaging parasites through either
44 genetically homogeneous or heterogeneous host populations. We predicted that heterogeneous host
45 populations would impede virulence evolution and that parasites evolved in homogeneous host
46 populations would evolve greater virulence by specializing on a single host genotype. Further, we
47 expected to see a cost of specialization when infecting a new host genotype. To test these predictions,
48 we evolved a clonal bacterial parasite, *Serratia marcescens* (Sm2170), in two genotypes of the host
49 *Caenorhabditis elegans*. The *C. elegans* genotypes used were CB4856 and ewIR 68 [29]. The two
50 strains have genetically diverse backgrounds but identical portions of chromosome V, where many
51 innate immune loci reside. CB4856 and ewIR 68 were chosen to minimize tradeoffs of specialization as
52 a means to better isolate heterogeneity as a variable. For our experimental treatments, we varied the ratio
53 of the host genotypes in each host population. We then compared the mortality rates of the evolved
54 parasites from each treatment to the ancestral parasites by infecting each host genotype separately.

55

56 **Methods**

57

58 **(a.) Experimental Evolution**

59 Using experimental evolution, we imposed selection for increased virulence on *S. marcescens* Sm2170
60 parasites exposed to either homogeneous or heterogeneous host populations. Hosts were the *C. elegans*
61 strains ewIR 68 and CB4586. *S. marcescens* infection occurs upon feeding. Some live bacterial cells
62 survive ingestion [30] and infect the host [31]. We measured virulence as infection-induced host
63 mortality rate, and imposed selection for increased virulence by passaging Sm2170 only from hosts that
64 died after 24 hpi (see electronic supplementary material, figure S1 for detailed experimental design).
65 Thus, parasite genotypes that facilitated rapid killing were favored. We passaged Sm2170 populations
66 through 5 different host treatments and a control in which parasites were passaged in the absence of
67 hosts (*in vitro*, 0-0) (figure S2).

68

69 For each passage of experimental evolution, we plated 1,000 worms on a *Serratia* selection plate (figure
70 S1) and allowed the worms to consume Sm2170 for 24 hours [32,33]. We then isolated 30 dead worms
71 from the Sm2170 lawn. Dead worms were identified by a lack of movement in response to provocation
72 with a platinum wire [34]. Then, we extracted Sm2170 from the hosts, cultured them in standard lab

73 conditions (28°C shaker overnight), and inoculated an unseeded nematode growth media (US Biological,
74 Salem, MA) plate to grow colony forming units (CFUs) for 48 hours at room temperature. From these
75 plates, we randomly picked 40 CFUs per Sm2170 population, to inoculate the next passage. New naïve
76 (non-evolved) hosts (from homozygous host lines kept at -80°C) were then placed on the evolved
77 bacteria and the process was repeated. For our *in vitro* control (0-0), 40 CFUs of Sm2170 were picked
78 from the bacterial lawn. This treatment served as our control for passage conditions. The selection
79 experiment concluded at the end of 10 passages (totaling hundreds of bacterial generations). At the end
80 of each passage, a subset of the evolved bacteria was stored at -80°C.

81

82 **(b.) Mortality Assays**

83 Mortality assays were used to determine virulence at the beginning and end of the experiment. Bacteria
84 from passage 10 were used to infect homogeneous groups of either host genotype, and mortality rates
85 were compared to the ancestral bacteria. The steps outlined in the creation of the *Serratia* selection
86 plates were identical to those of the mortality assays (see figures S1-S2).

87

88 We placed 200 worms from one genotype on a mortality assay plate (figure S2, step 1). After 48 hours
89 at 20°C, the number of dead worms on each plate were counted (figure S2, step 2). Mortality rates were
90 calculated as the proportion of dead worms divided by the number plated. When performing mortality
91 assays, each replicate population had 3-6 technical replicates for a total of 360 mortality assay plates
92 (figure S2, step 3). Ancestral mortality assays were performed both at the outset of the experiment and
93 again when performing evolved Sm2170 mortality assays at the end of the experiment (figure S2 and
94 S3).

95

96 **Statistical Analysis**

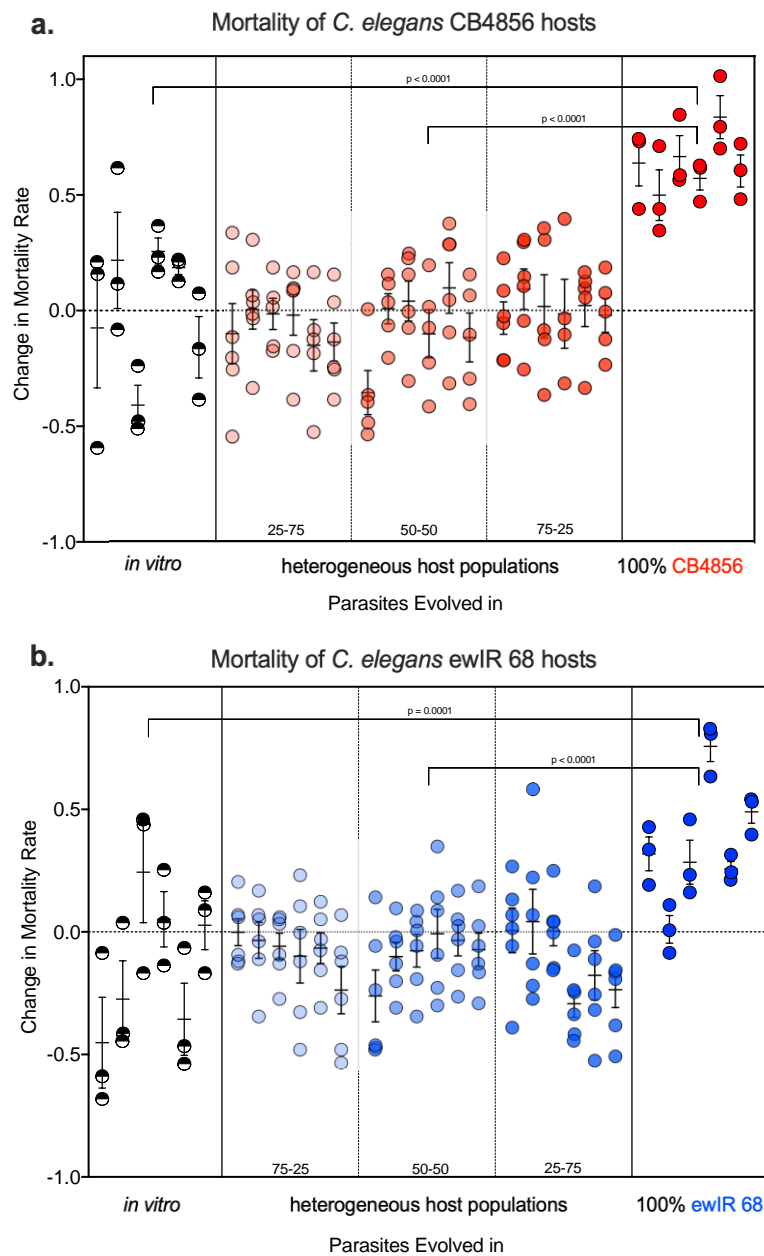
97 To assess mean changes in mortality rate between ancestral and evolved populations, we used JMP Pro
98 14 (SAS, Cary, NC) to perform a generalized linear model (GLM) with a link logit function and normal
99 distribution. Factors in the model include treatment (e.g., homogeneous, heterogeneous, *in vitro*), host
100 genotypes in mortality assays (ewIR 68 or CB4856), and the interaction. We did not detect
101 overdispersion using a Pearson test. Post-analysis Tukey contrast tests were used to determine
102 significance of pair-wise comparisons. We report our values as chi-squared statistics and corresponding
103 p-values. Multiple comparisons were corrected for using a Bonferroni correction of $p < 0.025$ ($p < a/k$,
104 where $a = 0.05$, $k = 2$ comparisons: host genotype and parasite treatment).

105

106 **Results**

107

108 The ancestral populations of Sm2170 bacteria tested at the beginning of experimental evolution
109 produced a mean mortality rate of 49.51% (SEM \pm 0.03) in host strain ewIR 68 and 64.32% (SEM \pm
110 0.04) in host strain CB4856 [35]. As predicted, we found that selection for virulence resulted in an
111 increase in mortality when experimental populations were assayed concurrently with the ancestral
112 population. Parasites evolved in both homogeneous host populations were significantly more virulent
113 than the *in vitro* controls (CB4856: $X_2 = 29.13$, $p < 0.0001$; ewIR 68: $X_2 = 14.68$, $p = 0.0001$, figure 1,
114 table S2-S3). Parasites evolved in CB4856 hosts had a 29% increase in mortality rate in CB4856
115 populations compared to the ancestor, while parasites evolved in ewIR 68 had a 19% increase in
116 mortality rate in ewIR 68 populations compared to the ancestor.

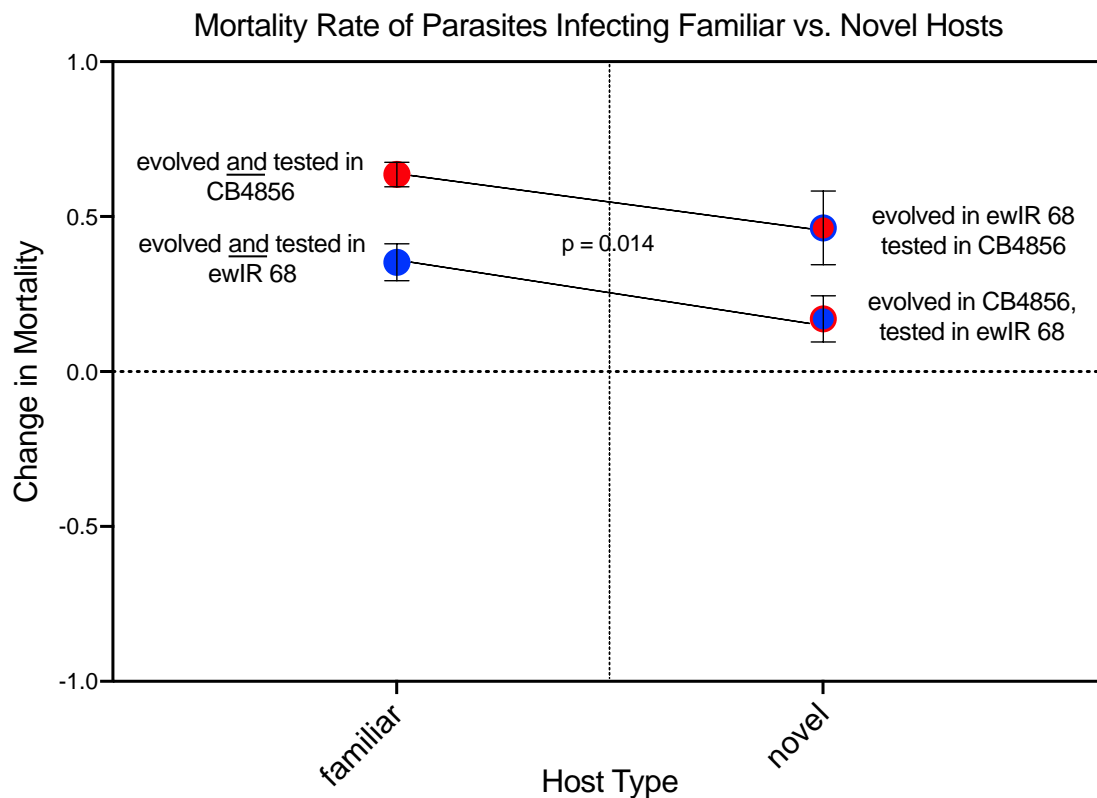


117

118 **Figure 1.** (a,b) Mean change in host mortality rate relative to the ancestral para-
 119 sites in *C. elegans* host strains CB4856 (a)
 120 and ewIR 68 (b). All experimental populations shared a common ancestor, and thus, any change from the ancestral data is
 121 indicative of relative virulence. Parasites were evolved in heterogeneous host populations, homogeneous host populations or
 122 in vitro (no hosts), and then tested for changes in virulence. The heterogeneous populations, from left to right, are 75– 25,
 123 50–50 and 25–75. Circles represent the mean change within each technical replicate (18–36 each). Bars represent \pm s.e.m.
 (Online version in colour.)

124 There were no significant differences in mortality induced by parasites in either host between any of the
 125 pairs evolved on 75-25, 50-50, or 25-75 (figure 1). When tested in CB4856 hosts, parasites evolved in
 126 heterogeneous host populations did not differ significantly in mortality rate from the *in vitro* parasites
 127 ($X_2 = 0.0023$, $p = 0.96$, figure 1a, table S2), indicating little to no adaptation to the CB4856 host

128 genotype. Further, the same parasites exhibited no significant increase in mortality rate compared to *in*
 129 *vitro* parasites when tested in ewIR 68 hosts ($X_2 = 0.00002$, $p = 0.99$, figure 1*b*, table S3). Parasites
 130 evolved in homogeneous ewIR 68 populations caused greater virulence in ewIR 68 than parasites
 131 evolved in heterogeneous populations ($X_2 = 18.37$, $p < 0.0001$, figure 1*b*, table S3). Additionally,
 132 parasites evolved in homogeneous CB4856 populations caused greater virulence in CB4856 hosts than
 133 parasites evolved in heterogeneous populations ($X_2 = 52.99$, $p < 0.0001$, figure 1*a*, table S2). Overall,
 134 these results demonstrate that host heterogeneity impedes parasite adaptation relative to host
 135 homogeneity.



136

137 **Figure 2.** Each dot represents the treatment's average change in mortality rate of all populations and replicates relative to
 138 ancestral parasites. All experimental populations shared a common ancestor, and thus, any change from the ancestral data is
 139 indicative of relative virulence. The x-axis shows the type of host infected: either familiar to the parasite or novel. The p-
 140 value is based on a post-GLM Tukey contrast test between all familiar hosts (left panel) and all novel hosts (right panel) ($\chi^2 =$
 141 6.04, $p = 0.01$). In both cases, although all treatments had an increased mortality rate relative to the ancestor, novel hosts had
 142 a lower mortality rate than do the familiar hosts. Bars around mean represent s.e.m. (Online version in colour.)

143 Next, we determined if parasites that were evolved on homogeneous hosts and then exposed to a novel
 144 host exhibited reduced virulence, and thus lowered fitness, as predicted by trade-off theory. In both
 145 cross-infections there was an increase in mortality rate relative to the ancestral strain and relative to the
 146 *in vitro* controls (figure 2, table S1). Further, cross-infections were significantly different from one

147 another ($X_2 = 6.04$, $p = 0.014$, figure 2, table S1), indicating that although parasites caused high
148 mortality in novel hosts, they did not increase to the same extent as parasites in familiar hosts. Despite
149 this difference, the result overall is in accordance with the previous finding: that heterogeneous host
150 populations limit the evolution of parasite virulence and indicate a trade-off imposed by host
151 heterogeneity.

152

153 **Discussion**

154

155 In our selection regime, higher host mortality equates to higher virulence, and thus greater parasite
156 fitness. Our results show that parasites selected in homogeneous host populations evolved substantial
157 increases in virulence when infecting those same hosts (for both ewIR 68 and CB4856) as compared to
158 *in vitro* controls (figure 1). However, parasites that were selected in mixed genotype host populations
159 and then tested on homogeneous host genotypes exhibited limited increases in virulence (figure 1),
160 despite strong selection favoring increased virulence. We found no differences in the mortality rates of
161 hosts infected by parasites evolved with any mixed host population on either host – neither comparing
162 between each mixed treatment nor compared with the *in vitro* control. Thus, exposure to heterogeneous
163 host populations impeded virulence evolution relative to exposure to homogeneous hosts. Further,
164 parasites evolved in homogeneous populations and then used to cross-infect the other (novel) host
165 genotype exhibited smaller increases from the ancestral virulence than when infecting their familiar host
166 (figure 2). Therefore, we observed tradeoffs in virulence due to specialization on the parasites' familiar
167 host genotype.

168

169 Tradeoffs in parasite virulence due to specialization on a particular host genotype are often invoked as a
170 reason that heterogeneous host populations may impede parasite adaptation. Here, we found that
171 heterogeneous host populations impeded the evolution of parasite virulence and we found evidence of
172 tradeoffs in parasite virulence (figure 1). However, the evolved tradeoffs in parasite virulence that we
173 observed are not sufficient to explain the limited virulence evolution in parasites evolved with
174 heterogeneous host populations. Despite parasite specialization (greater virulence) on familiar
175 homogeneous hosts, parasites evolved in homogeneous host populations still exhibited increased
176 virulence against novel hosts relative to the *in vitro* control parasites (figure 2). Therefore, any potential
177 cost of a tradeoff should have been mitigated in the heterogeneous host populations, as adaptation to
178 either host genotype still resulted in increased virulence against the other host genotype. Yet, we still

179 observed a limited response to selection for increased virulence in parasites evolving in heterogeneous
180 host populations (figure 1).

181

182 One possibility for the lack of a substantial tradeoff cost (i.e. a decline in parasite fitness) may be that
183 the *C. elegans* genotypes used, CB4856 and ewIR 68, share an identical region of chromosome V, which
184 harbors loci associated with innate immunity [36]. It is likely that parasites evolved in either genotype
185 were under strong selection to evolve in response to that particular region of the genome. Despite the
186 genetic similarity of the strains at many innate immune system loci, heterogeneous populations still
187 impeded parasite adaptation relative to homogeneous populations. While it is plausible that tradeoffs in
188 virulence slowed parasite adaptation in the heterogeneous host populations to some degree, tradeoffs
189 alone are insufficient to explain the lack of increase in virulence exhibited by heterogeneous-selected
190 parasites when infecting CB4856 hosts (figure 1). We hypothesize that this lack of response to selection
191 was likely driven by a reduction in the efficacy of selection in the heterogeneous host populations
192 relative to the homogeneous hosts. Selection imposed by different host genotypes can act on different
193 groups of loci in the parasite genome [37]. As a result, the efficacy of selection on a particular set of loci
194 in the parasites may be reduced in the heterogeneous hosts as parasites encounter different host
195 genotypes with each infection [38]. Although a portion of our host genomes were identical, the diverse
196 genetic backgrounds of the CB4856 and ewIR 68 strains may have imposed fluctuating selection on the
197 parasite populations, resulting in limited parasite adaptation within heterogeneous host populations.
198 Another possibility is that specialization on a single host, as opposed to a generalist strategy, may lead to
199 a stronger strength of selection over time. Thus, our results at passage 10 may be the result of stronger
200 selection in homogeneous populations as specialization increases [39].

201

202 Heterogeneous host populations are shown to be common in nature [40–44], and our results demonstrate
203 that heterogeneity can alter the trajectory of parasite evolution. Importantly, parasites are capable of
204 adapting to heterogeneous host populations [45]. Nonetheless, our results indicate that parasite
205 adaptation can be impeded by heterogeneous relative to homogeneous host populations. While we
206 observed little cost to host specialization in our experiment, tradeoffs are likely to impede rates of
207 parasite adaptation in heterogeneous host populations [46]. We anticipate that changes in the efficacy of
208 selection imposed by heterogeneous host populations may also contribute to reduce rates of parasite
209 adaptation. Therefore, we believe it is critical to understand the implications of host heterogeneity for
210 disease evolution. The ability to manage parasite virulence in both human infectious diseases,
211 agriculture, and in the conservation of wildlife has long been a goal of research on parasite evolution

212 [47]. Our results indicate that increasing host heterogeneity may not only be useful for decreasing
213 disease prevalence and spread, but also for hindering parasite adaptation and virulence evolution.

214

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